

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FH  
 Name of Fund: Public Water System Supervision  
 Legal Authority PL 93-523, Safe Drinking Water Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 556 H

Intended Purpose: Protect public health by ensuring safe drinking water

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure that public water systems provide safe drinking water through compliance with national primary drinking water regulations

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Lower award received in 2018-2019 to enable EPA to change award period from calendar year to federal fiscal year.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	518,000	498,000	496,650	494,550	539,949	282,000	570,000
Beginning Cash Balance	14,271	13,875	34,076	25,818	175	175	175
Revenues	472,851	498,075	306,542	281,175	496,650	496,650	496,650
Expenditures	473,247	477,874	314,800	306,818	496,650	496,650	496,650
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	13,875	34,076	25,818	175	175	175	175
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	175	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FH  
 Name of Fund: Water Pollution Control - Groundwater  
 Legal Authority: PL 92-500, Clean Water Act, Section 106

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 557 H

Intended Purpose: Prevent, reduce, and eliminate groundwater pollution

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Implement State Groundwater Protection Program and wellhead protection activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has been extended from a 2-year award to a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	330,000	662,000	839,261	155,981	976,401	150,000	150,000
Beginning Cash Balance	5,218	4,661	9,900	543	16,423	16,423	16,423
Revenues	196,369	188,100	220,775	251,485	250,000	250,000	250,000
Expenditures	196,926	182,861	230,133	235,605	250,000	250,000	250,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,661	9,900	542	16,423	16,423	16,423	16,423
Encumbrances	10,076	0	1,195	76	0	0	0
Unencumbered Cash Balance	NA	NA	NA	16,347	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Hazardous Waste Management Program  
 Legal Authority PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 558 H

Intended Purpose: Implement regulations of the Resource Conservation and Recovery Act (RCRA)

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure RCRA compliance at hazardous waste generation and management facilities, issue permits to hazardous waste management facilities, manage clean-up of contaminated sites, and develop and maintain programs aimed at reducing waste and increasing recycling

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant changed from 1-year to 2-year award period. Ceiling included each year for budgetary purposes, since positions are funded by this grant.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	556,000	536,000	566,328	573,331	1,113,000	530,000	530,000
Beginning Cash Balance	23,656	19,844	1,148	1,291	59	59	59
Revenues	570,198	536,695	521,326	488,417	530,000	530,000	530,000
Expenditures	574,010	555,391	521,183	489,649	530,000	530,000	530,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0						
Ending Cash Balance	19,844	1,148	1,291	59	59	59	59
Encumbrances	0	1,411	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	59	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FF/FO & HTH 610 FR  
 Name of Fund: Air Pollution Control Program  
 Legal Authority Clean Air Act, Section 105; 40 CFR Parts 31 & 35 Subpart A

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 559 H

Intended Purpose: Protect and improve air quality and reduce the risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air emissions, rule-making, issuing permits and other other program-related activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: From FY18, all expenditures to this grant are coded to S-559-H, since new federal funds methodology impedes spending.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	839,000	919,000	838,823	945,000	943,823	892,532	892,532
Beginning Cash Balance	45,934	17,658	9,304	4,145	45,620	45,620	45,620
Revenues	656,696	534,184	669,179	805,186	943,823	892,532	892,532
Expenditures	684,972	542,537	674,338	763,711	943,823	892,532	892,532
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	17,658	9,304	4,145	45,620	45,620	45,620	45,620
Encumbrances	11,186	3,497	10,224	9,573	3,497	3,497	3,497
Unencumbered Cash Balance	NA	NA	NA	36,047	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FF  
 Name of Fund: PM 2.5 Monitoring Network  
 Legal Authority Clean Air Act, Section 103; 40 CFR Part 31

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 560 H

Intended Purpose: Improve air quality and reduce risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Monitor fine particulate matter to determine compliance with the PM 2.5 National Ambient Air Quality Standards and determine reductions in air emissions

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because position was funded by this grant through FY 19. Variances are primarily due to timing of equipment replacement, repair, and maintenance, amount of inter-island travel needed, and travel costs for off-island conference/training.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	210,000	255,000	768,573	843,104	765,108	0	0
Beginning Cash Balance	6,581	3,964	8,243	187	92	92	92
Revenues	113,990	174,780	160,107	123,235	170,000	170,000	170,000
Expenditures	116,607	170,501	168,163	123,331	170,000	170,000	170,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	3,964	8,243	187	92	92	92	92
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	92	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: State Oral Disease Prevention Program Grant  
 Legal Authority: 301A, 311BC, 317K2 (42USC241A, 243BC247BK2)

Contact Name: William L. Aakhus  
 Phone: 586-9305  
 Fund type (MOF) P  
 Appropriation Acct. No. S 563 H

Intended Purpose: An oral health program is a critical part of all state public health departments, however the DOH Dental Health Division was eliminated as part of the 2009 budget restricts. This grant will be used to help rebuild the DOH's public dental health infrastructure.

Source of Revenues: DHHS, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: The funding will be used to improve basic state oral health services, including support for program leadership and limited supported staff, monitoring oral disease levels and risk factors for oral disease, developing strong partnerships, educating state residents on ways to prevent oral diseases, and developing and evaluating prevention programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The Hawaii State Oral Disease Prevention Program grant ended 8/31/2018. No revenues or expenditures will occur from FY 2020 onward.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	250,000	0	0	0	0
Beginning Cash Balance	10,839	453	35	64	64	0	64
Revenues	111,821	237,009	349,737	234,764	0	0	0
Expenditures	122,207	237,427	349,708	234,828	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	453	35	64	0	64	0	64
Encumbrances	57,439	56,571	0	0	0		
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: <u>HTH</u>	Contact Name: <u>Janelle Saucedo</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7507</u>
Name of Fund: <u>Strategic Prevention Framework-Partnerships for Success (HI Partnerships for Success)</u>	Fund type (MOF) <u>P</u>
Legal Authority: <u>Section 516 PHS Act as amended</u>	Appropriation Acct. No. <u>S 565 H</u>

Intended Purpose: Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 12 to 20.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Five year award from 9/30/13 to 9/29/18 plus on year no-cost extension period 9/30/18 to 9/29/19. Variance in FY16 to FY19 is due to the delays in establishing the project and positions that led to delayed contract and contract modification execution. Additionally due to the time limitations, this special project had to complete a reorganization and convert existing exempt positions to temporary civil service. The existing exempt staff did not convert causing the project to lose momentum in program implementation.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,776,772	1,433,481	1,776,772	1,776,772	0	0	0
Beginning Cash Balance	2,720	3,343	47	934	(0)	(0)	(0)
Revenues	247,652	834,975	1,305,113	1,167,696	0	0	0
Expenditures	247,029	838,271	1,304,226	1,168,630	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,343	47	934	(0)	(0)	(0)	(0)
Encumbrances	117,806	977,906	858,657	658,516			
Unencumbered Cash Balance	(114,463)	(977,859)	(857,723)	(658,516)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

**Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department:	<u>HTH</u>	Contact Name:	<u>Janelle Saucedo</u>
Prog ID(s):	<u>HTH 440</u>	Phone:	<u>692-7507</u>
Name of Fund:	<u>Collaborative Agreement to Benefit Homeless Individuals</u>	Fund type (MOF)	<u>P</u>
	<u>(Hawaii Pathways Project)</u>		
Legal Authority	<u>Section 509 and 520A Public Health Service Act, as amended</u>	Appropriation Acct. No.	<u>S 566 H</u>

Intended Purpose: The intent of the CABHI-Hawaii Pathways Project (HPP) is to strengthen the infrastructure, partnerships, and service system to provide permanent housing to individuals, families, and veterans living on Oahu. HPP will assist chronically homelessness individuals with substance use or co-occurring substance use and mental health disorder through assertive outreach, case management, and treatment services. Specifically, HPP will provide the Pathways Housing First model, the only evidence-based program recognized by the National Registry of Evidence- Based Programs and Practices that provides a comprehensive housing and treatment model without preconditions of the individual's alcohol or drug use. The HPP will also assist in building sustainable partnerships, infrastructure, and practices through a partnership with the Hawaii Interagency Council on Homelessness (HICH) and the development of a Statewide Plan.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses: Implementation of the Pathways Housing First model; including clinical services, intensive case management, housing, vocational, peer support and recovery support services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Three year project period from 9/30/13 to 9/29/16 plus one year no-cost extension from 9/30/16 to 9/30/17. Variances in FY 2016/2017/2018 revenues are due to delay in starting grant project. Variances in FY 2017 and FY 2018 expenditures are due to increased homeless wrap around services during the no-cost extension period of this award. Grant ended 9/30/17.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,209,203	1,209,203	0	0	0	0	0
Beginning Cash Balance	2,907	3,000	7	0	0	0	0
Revenues	837,781	918,649	557,621	0	0	0	0
Expenditures	837,688	921,642	557,628	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,000	7	0	0	0	0	0
Encumbrances	528,378	656,656					
Unencumbered Cash Balance	(525,378)	(656,649)	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: 2015 WIC INFRASTRUCTURE

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) N

Healthy, Hunger Free Kids Act of 2010,  
 Section 17(h)(10)(A) of the Child Nutrition Act  
 of 1966, as amended. Section 17(h)(10)(B) of  
 the Child Nutrition Act of 1966, as amended,  
 Title 7, Part 246, Public Law 111-296, 42  
 Legal Authority U.S.C. 1786.

Appropriation Acct. No. S 567 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide funding for facility renovation to Waianae Coast Comprehensive Health Center WIC and to conduct outreach for a statewide child retention campaign.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office

Current Program Activities/Allowable Expenses: Facility renovation expenditures and outreach activities for child retention to the WIC program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances between FY 2016 and 2017 is partly due to awarded contractor not wanting to enter into a contract for this project, because of limited time between award, execution of contract and completion of project. State staff used partial funding for the child retention campaign proposed project. Draft contract not executed, federal grant funding recovered, partial project (child retention campaign) completed.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	351,500	351,500	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	25,668	0	0	0	0	0
Expenditures	0	25,668	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: No Wrong Door  
 Legal Authority: PL 109-442

Contact Name: S.Chun  
 Phone: 6-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 568 H

Intended Purpose: Implementation of the No Wrong Door System for all populations and all players.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Network built to support individuals needing long term care. Expenses are operating and administrative.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2019.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	850,000	2,496,642	1,738,746	898,311	100,000	0	0
Beginning Cash Balance	0	9,106	119,318	21,951	5,674	0	0
Revenues	49,948	868,108	743,068	644,990	100,000	0	0
Expenditures	40,842	757,896	840,435	661,267	105,674	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,106	119,318	21,951	5,674	0	0	0
Encumbrances	321,523	376,408	497,386	0			
Unencumbered Cash Balance	(312,417)	(257,090)	(475,435)	5,674	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: WIC REGIONAL INFRASTRUCTURE

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) P

Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 569 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to transfer a legacy MIS system and implement a new MIS system for the WIC program.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services. Federal Technology funding.

Current Program Activities/Allowable Expenses: Second funding for Phase II - Transfer and Replacement of MIS database.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Not applicable. Grant drawn-down completed by 10/31/2017, MIS replacement project rolled-out and completed. Funds expended and federal grant closed.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,229,182	1,229,182	1,229,182	0	0	0	0
Beginning Cash Balance	0	61,052	358,355	0	0	0	0
Revenues	312,448	910,902	5,832	0	0	0	0
Expenditures	251,396	613,599	364,187	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	61,052	358,355	0	0	0	0	0
Encumbrances	260,376	357,250	0	0	0	0	
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Non-General Fund Report**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 440  
 Name of Fund: Drug and Alcohol Services Information System (DASIS)  
 Legal Authority: Section 505 Public Health Service Act (42.U.S.C. 290aa-4)

Contact Name: Janelle Saucedo  
 Phone: 692-7507  
 Fund type (MOF) P  
 Appropriation Acct. No. S 571 H

Intended Purpose: To provide substance abuse treatment data to meet the Substance Abuse and Mental Health Services Administration (SAMHSA) statutory requirements.

Source of Revenues: U.S. Department of Justice, Office of Juvenile Justice and Delinquency Prevention (OJJDP)

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for continued collection of substance abuse prevention and treatment data elements.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY 14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY 14, per new accounting methodology for federal funds, a unique appropriation has been assigned to each federal award resulting in the one time carryover balance in FY 17. The annual award of \$37,538 resumed in FY 19, 20, 21. Current agreement is 6/30/17 to 6/29/21. Variance for FY 18 is due to expenditures of carry over. Estimates for FY 20 are based on anticipated base appropriation.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	37,538	405,724	150,152	37,538	37,538	37,538	37,538
Beginning Cash Balance		0	37,538	350,959	379,966	408,504	436,542
Revenues	37,538	37,538	494,609	37,538	37,538	37,538	37,538
Expenditures	37,538	0	181,188	8,531	9,000	9,500	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	37,538	350,959	379,966	408,504	436,542	464,080
Encumbrances			5,749	1,507			
Unencumbered Cash Balance	0	37,538	345,210	378,459	408,504	436,542	464,080

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH590  
 Name of Fund: PHHSBG-Chronic Disease Prevention  
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Sayuri Sugimoto  
 Phone: 586-4486  
 Fund type (MOF) P  
 Appropriation Acct. No. S-16-574-H  
S 574 H (FY16 & FY15)

Intended Purpose: Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues: US Department of Health and Human Services and Public Health Service. CDPHPD is a sub-recipient. These funds were originally included under appropriation account S-225-H and has subsequently been assigned a separate account, S-574-H.

Current Program Activities/Allowable Expenses: PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Ended on 9/30/2018

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	165,000	165,000	165,000				
Beginning Cash Balance	0	3,272	1,356	0	0	0	0
Revenues	72,440	146,437	46,184	0			
Expenditures	69,168	148,353	47,540	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfer							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,272	1,356	0	0	0	0	0
Encumbrances		0	18,000	0			
Unencumbered Cash Balance	3,272	1,356	(18,000)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH590  
 Name of Fund: National Asthma Control Program  
 Legal Authority: Act 122, SLH 2014

Contact Name: Sayuri Sugimoto  
 Phone: 586-4486  
 Fund type (MOF): P  
 Appropriation Acct. No. S 15 575 H

Intended Purpose: Expand access and awareness of evidence based asthma self-management programs, with a focus on reducing disparities among high risk population.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Planning, delivery, and evaluation of public health activities and collaboration with health care systems; Expand access to comprehensive asthma control services through home-based and/or school-based strategies; Coordinate with health care organizations to improve coverage, delivery, and use of clinical and other services.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended on 08/31/19

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	500,000	0	0
Beginning Cash Balance	8,009	11,180	26	41	(0)	(0)	(0)
Revenues	533,806	265,609	230,743	418,582	200,000	0	0
Expenditures	530,635	276,763	230,727	418,623	200,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11,180	26	41	(0)	(0)	(0)	(0)
Encumbrances	31,119	138,003	115,052	50,535			
Unencumbered Cash Balance	(19,939)	(137,977)	(115,011)	(50,535)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 590  
 Name of Fund: Behavioral Risk Factor Surveillance System  
 Legal Authority: Act 122, SLH 2014

Contact Name: Sayuri Sugimoto  
 Phone: 586-4486  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 576 H

Intended Purpose: To provide financial and technical assistance to collect data on health risk behaviors, health status, health care access, and preventive health practices. The Behavioral Risk Factors Surveillance System (BRFSS) is the only continuous, state-based, health surveillance system conducted.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: The Hawaii BRFSS program has operated continuously in Hawaii since 1986. Funding from the Centers for Disease Control and Prevention (CDC), Department of Health programs, and the University of Hawaii supports BRFSS personnel salary and fringe and operational costs, including time spent constructing a state-specific BRFSS questionnaire; collecting and submitting data using surveillance methodologies and protocols; forming and maintaining collaborations; participating in CDC-sponsored training opportunities; and disseminating data and other public health products to the public and research communities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The increase in revenues and expenditures are due to the increase in funds awarded by the CDC in FY19 from previous year. Also, the program requested carryover funds from previous years.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,000	400,000	400,000	400,000	233,355	233,355	233,355
Beginning Cash Balance	3,503	3,729	2	113	218	218	218
Revenues	232,646	148,848	182,694	269,824	233,355	233,355	233,355
Expenditures	232,420	152,574	182,583	269,719	233,355	233,355	233,355
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,729	2	113	218	218	218	218
Encumbrances	97	0	0	0			
Unencumbered Cash Balance	3,632	2	113	218	218	218	218

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 590  
 Name of Fund: Heart Disease & Stroke, Diabetes and Obesity Prevention  
 Legal Authority Act 122, SLH 2014

Contact Name: Sayuri Sugimoto  
 Phone: 586-4486  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 578 H

Intended Purpose: Support implementation of population-wide and priority population approaches to prevent obesity, diabetes, and heart disease and stroke and reduce health disparities in these areas among adults.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Implement nutrition and beverage standards, strengthen healthier food access and sales, community promotion and development and/or implementation of transportation and community plans to promote physical activity;

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: ended on 9/29/2018

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,750,813	2,750,813	2,750,814	0	0	0	0
Beginning Cash Balance	7,520	3,683	109	823	0	0	0
Revenues	1,299,679	3,283,460	3,776,792	1,681,403	0	0	0
Expenditures	1,303,516	3,287,034	3,776,077	1,682,226	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,683	109	823	0	0	0	0
Encumbrances	2,063,684	3,095,242	2,681,852	1,656,958			
Unencumbered Cash Balance	(2,060,001)	(3,095,133)	(2,681,029)	(1,656,958)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department:	HTH	Contact Name:	Janelle Saucedo
Prog ID(s):	HTH 440	Phone:	692-7507
Name of Fund:	Substance Abuse Prevention and Treatment (SAPT) Block Grant	Fund type (MOF):	N
Legal Authority:	Subparts II & III, Part B, Title XIS, Public Health Service Act; Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)	Appropriation Acct. No.:	S-581 in FY15/16/17/19 to FY18

Intended Purpose: Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award resulting in the carryover balance in FY16. FY17/18/19 variances are due to FY18 award and appropriation inadvertently not included on the Form FF; therefore, the FY18 award was not assigned to S-18-581-H and S-19-211 was assigned by an E-2. Returned to S 581H from FY19 and beyond. Variances in Revenues and Expenditures in FY20 are due to FY18 award assigned to S-19-211 resulting in the revenues and expenditures posted to S-19-211 rather than S-19-581.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	8,562,595	0	8,583,536	8,583,536	8,583,536	8,583,536
Beginning Cash Balance	66,770	0	30	11,836	0	0	0
Revenues	1,456,809	5,912,700	8,966,118	1,966,114	8,583,536	8,583,536	8,583,536
Expenditures	1,523,579	5,912,670	8,954,312	1,977,950	8,583,536	8,583,536	8,583,536
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	30	11,836	0	0	0	0
Encumbrances		2,381,345	2,006,974	25,476			
Unencumbered Cash Balance	0	(2,381,315)	(1,995,138)	(25,476)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

# Report on Non-General Fund Information

for Submittal to the 2020 Legislature

**Department:** Health  
**Prog ID(s):** HTH 720  
**Name of Fund:** OHCA Health Care Administrative Services  
**Legal Authority:** Social Security Act, Section 1864, and U.S. Public Law 100-578

**Contact Name:** Utey Uch  
**Phone:** (808) 692-7229  
**Fund type (MOF):** P  
**Appropriation Acct. No.:** S--583-H

Intended Purpose: To meet contractual obligations pursuant to Act 093, SLH 2012 which transferred licensing responsibilities of home and community-based case management, community care foster family homes and adult day care facilities from the Hawaii department of human services to the office of health care assurance within the department of health.

Source of Revenues: The source of revenues are from the State general funds and also from Federal contracts within the hawaii department of human services (DHS). The contract funding is relatively stable from year to year but the federal portion is determined by the Centers for Medicare and Medicaid Services (CMS) after periodic reviews based on the number of Medicaid clients being served.

Current Program Activities/Allowable Expenses: Licensing activities of home and community-based case management, community care foster family homes and adult day care facilities.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling is increased to cover the increase in contract price.

Variances: Expenditure is increased in FY2020 due to contract price increase.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	316,495	316,495	316,495	316,495	569,293	569,293	569,293
Beginning Cash Balance	26,375	52,750	26,375	0	0	0	0
Revenues	342,869	316,494	316,494	0	569,293	569,293	569,293
Expenditures	316,494	342,869	342,869	222,493	569,293	569,293	569,293
List each net transfer in/out/ or projection in/out; list each account number							
JS0473/0599/1017/1956				263,745			
Various dates							
Transfer-out							
Net Total Transfers	0	0	0	263,745	0	0	0
Ending Cash Balance	52,750	26,375	0	41,253	0	0	0
Encumbrances	0	0	26,375	0			
Unencumbered Cash Balance	52,750	26,375	(26,375)	41,253	0	0	0

## Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: WIC EBT TRANSFER PROJECTS  
Healthy, Hunger Free Kids Act of 2010,  
Section 17(h)(10)(A) of the Child Nutrition Act  
of 1966, as amended. Section 17(h)(10)(B) of  
the Child Nutrition Act of 1966, as amended,  
Title 7, Part 246, Public Law 111-296, 42  
 Legal Authority U.S.C. 1786.

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) P

Appropriation Acct. No. S 584 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to plan for implementation of electronic benefits transfer (EBT) and prepare an Implementation Advance Planning Document (IAPD) for submission to the USDA and subsequent application for grant funding to be used for project implementation by 2020.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office/ Grants to States Technology funding.

Current Program Activities/Allowable Expenses: Planning project for implementation of electronic benefits transfer (EBT) contract deliverables completed. Remaining contract balance encumbered by ASO Log No. 15-077-M1 in the amount of \$14,974 is expected to be recovered by the USDA.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	250,000				
Beginning Cash Balance	0	761	3,086	1	1		
Revenues	4,716	226,501	3,604	0	0		
Expenditures	3,955	224,176	6,689	0	0		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	761	3,086	1	1	1	0	0
Encumbrances	244,296	21,663	0	0	0		
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).							
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 590  
 Name of Fund: Tobacco Quitline  
 Legal Authority Act 122, SLH 2014

Contact Name: Sayuri Sugimoto  
 Phone: 586-4486  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 585 H

Intended Purpose: Expand the capacity of the Tobacco Prevention and Education Program to implement evidence-based cessation interventions as it relates to the Hawaii Tobacco Quitline.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health - financed solely by the Prevention and Public Health Fund

Current Program Activities/Allowable Expenses: Ensure infrastructure for State Quitline; improve Quitline capacity; participate in surveillance and evaluation efforts; identify and target disparate populations; improve sustainability; increase media efforts; enhance Quitline protocol and operations; improve understanding of comprehensive cessation coverage for Medicaid recipients; promote health systems change.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant was extended from 7/31/2019 to 4/28/2020. The reasons for the increase in revenue and expenditures are due to additional funds awarded at the end of FY18.

Financial Data							
	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)	FY 2022 (estimated)
Appropriation Ceiling	57,958	57,958	57,958	57,958	57,958	57,958	57,958
Beginning Cash Balance	7,250	0	3	31	(0)	(0)	(0)
Revenues	33,702	29,854	41,520	47,048	57,958	57,958	57,958
Expenditures	40,952	29,851	41,491	47,080	57,958	57,958	57,958
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	3	31	(0)	(0)	(0)	(0)
Encumbrances	0	0	7,375	54,000			
Unencumbered Cash Balance	0	3	(7,344)	(54,000)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 590  
 Name of Fund: Tobacco Program Control  
 Legal Authority Act 122, SLH 2014

Contact Name: Sayuri Sugimoto  
 Phone: 586-4486  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 586 H

Intended Purpose: To support the implementation of evidence-based environmental, policy, and systems interventions, strategies, and activities to reduce tobacco use, secondhand smoke exposure, tobacco-related disparities and associated disease, disability, and death.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion Office on Smoking and Health

Current Program Activities/Allowable Expenses: Implementation of: State and community interventions; mass-reach health communication interventions; cessation interventions; surveillance and evaluation; infrastructure, administration, and management.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: The decrease in expenditure is due to vacancies in the tobacco programs section.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	835,823	900,000	900,000	900,000	896,765	896,765	896,765
Beginning Cash Balance	426	18,525	17	4,390	348	348	348
Revenues	848,943	693,913	889,316	789,079	856,418	856,418	856,418
Expenditures	830,844	712,421	884,943	793,121	856,418	856,418	856,418
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,525	17	4,390	348	348	348	348
Encumbrances	201,432	1,466	882	186,364			
Unencumbered Cash Balance	(182,907)	(1,450)	3,508	(186,016)	348	348	348

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Injury Prevention and Control, PHHS Block Grant  
 Legal Authority Part A, Title XIX, Public Health Service Act

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) P  
S-16-587 H, S-17-587 H, S-18-587 H, S-19-587 H  
 Appropriation Acct. No. H, S-19-587 H

Intended Purpose: Develop, promote, coordinate & evaluate projects & policies that lead to the reduction of injury deaths, hospitalizations & costs.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Collect, analyze, report data on causes of injury morbidity & mortality/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	48,884	90,000	90,000	90,000	90,000	90,000	90,000
Beginning Cash Balance	2,584	0	71	48	0	0	0
Revenues	46,102	48,950	90,501	69,438	93,500	90,000	90,000
Expenditures	48,686	48,879	90,524	69,486	93,500	90,000	90,000
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	71	48	0	0	0	0
Encumbrances	0	10,166	76	3500	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2019 Beginning Balance: MBP477-A OPTION:2, CY19, CM06, as of 06/30/19, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2019 Encumbrances (Unliquidated Balance): MBP490-A, CY19, CM06, as of 06/30/19, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** The estimated revenue for FY 2020 is equal to the amount anticipated to be allocated from the federal award plus the remaining encumbrance for FY 2019 minus the beginning cash balance for FY 2020. The estimated expenditure for FY 2020 is equal to fully expending the estimated revenue available within the appropriation ceiling and the remaining encumbrance for FY 2019. The estimated expenditure for FYs 2021-22 is equal to fully expending the amount anticipated to be allocated from the federal award for each year.

**Note 4:** In FY 2015 (10/1/14), funding for this grant is reported in assigned appropriation S 587 H. In FY 2016 (10/1/15), funding for this grant is reported in S 541 H. For FYs 2017-2022 (10/1/16) funding for this grant is reported in assigned appropriation S-587 H.

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 907  
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS  
 Legal Authority Act 164, SLH 2011, as amended by ACT, 106, SLH 2012  
Public Health Service Act, various sections, as amended

Contact Name: Betty Wood  
 Phone: 586-4530  
 Fund type (MOF) P  
 Appropriation Acct. No. S 588

**Intended Purpose:**

Preventive Health and Health Services Block Grant (PHHSBG) - this grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

**Source of Revenues:**

US Department of Health and Human Services and Public Health Service. This grant number was S-228-H then changed to S-588-H. Going forward the assumption is this grant number will be S-588-H. This grant period ends annually on 9/30.

**Current Program Activities/Allowable Expenses:**

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Variances: Variance in expenditures is due to the establishment of new positions and hiring of staff.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,603	600,603	600,603	600,603	600,603	600,603	600,603
Beginning Cash Balance	5,029	(0)	63	377	57,571	57,210	56,849
Revenues	328,267	270,850	502,046	885,599	406,305	406,305	406,305
Expenditures	333,297	270,787	501,732	828,405	406,666	406,666	406,666
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	63	377	57,571	57,210	56,849	56,488
Encumbrances		93,911	57,534	183,436			
Unencumbered Cash Balance	0	(93,848)	(57,157)	(125,865)	57,210	56,849	56,488

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: <u>HEALTH</u>	Contact Name: <u>William L. Aakhus</u>
Prog ID(s): <u>HTH 560</u>	Phone: <u>586-9305</u>
Name of Fund: <u>Preventive Hlth and Hlth Svcs Block Grant – Sexual Assault Prev Prog</u>	Fund type (MOF) <u>P</u>
Legal Authority: <u>Part A, Title XIX, PHS Act, as amended</u>	Appropriation Acct. No. <u>S 589 H</u>

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and immigrants to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. Collaborate on public awareness activities with Hawaii Coalition Against Sexual Assault.
- C. To collaborate and plan with the Sexual Violence Primary Prevention Planning Committee for such activities as a needs assessment of attitudes about sexual violence among selected communities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: The variance in revenues and expenditures between FY 2018-19 is due to a substantial increase in the amount of financial assistance provided from OPPPD, the grant administrator, to FHSD, for various FHSD programs. The estimated increase in ceiling, revenues, and expenditures for FY2020 and beyond is to reflect the higher level of support FHSD is receiving from this block grant.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,000	40,000	40,000	40,000	370,000	370,000	370,000
Beginning Cash Balance	730	0	22	65	0	0	0
Revenues	26,661	12,040	77,911	163,704	365,000	365,000	365,000
Expenditures	27,391	12,018	77,868	163,769	365,000	365,000	365,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	22	65	0	0	0	0
Encumbrances	0	0	0	138,488	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: WIC MIS PROJECT FUNDING  
Healthy, Hunger Free Kids Act of 2010,  
Section 17(h)(10)(A) of the Child Nutrition Act  
of 1966, as amended. Section 17(h)(10)(B) of  
the Child Nutrition Act of 1966, as amended,  
Title 7, Part 246, Public Law 111-296, 42  
 Legal Authority U.S.C. 1786.

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) P

Appropriation Acct. No. S 590 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to implement a MIS system to transfer existing data from and replace WIC's database called "SWICH".

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office/WIC Grants to States Technology funding.

Current Program Activities/Allowable Expenses: Initial funding for Phase I - Transfer and Replacement of MIS database. Funding completely expended, Phase I completed, project rolled out by 5/31/2017. Grant closeout reports completed.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,495,818	1,495,818	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,345,549	150,269	0	0	0	0	0
Expenditures	1,345,549	150,269	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	150,269	0	0	0	0	0	
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 495  
 Name of Fund: Hawaii Primary and Behavioral Health Care Integration Project  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) P  
 Appropriation Acct. No. S 592 H

Intended Purpose: The purpose of the grant is to improve the physical health status of adults who have severe and persistent mental illness through the provision of primary and specialty care medical services.

Source of Revenues: Hawaii's Primary and Behavioral Health Care Integration Project grant

Current Program Activities/Allowable Expenses: Activities include the provision of primary and specialty care medical services and support services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to the inability to establish and fill grant funded positions. The grant ended on 9/29/18, negative revenue in FY 19 reflects return of unspent grant funds to grant manager.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0			
Beginning Cash Balance	204	672	1	497	0	0	0
Revenues	14,653	18,334	24,818	(497)	0	0	0
Expenditures	14,185	19,005	24,322	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	672	1	497	0	0	0	0
Encumbrances	2,647	2,817					
Unencumbered Cash Balance	(1,975)	(2,816)	497	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: <u>Health</u>	Contact Name: <u>Alvin T. Onaka, Ph.D.</u>
Prog ID(s): <u>HTH 760</u>	Phone: <u>(808) 586-4600</u>
Name of Fund: <u>Hawaii National Violent Death System (NVDRS) Project</u>	Fund type (MOF) <u>N</u>
Legal Authority: <u>Centers for Disease Control's National Violence</u>	Appropriation Acct. No. <u>S-593-H</u>

Intended Purpose:

Improve violent death data collection and reporting in the State of Hawaii

Source of Revenues:

Competitive federal grant award

Current Program Activities/Allowable Expenses:

Activities include working with local law enforcement, the Medical Examiner's office on Oahu and Medical coroners on the neighbor islands to discuss the collection and reporting of violent death (ie: murders and suicides) information. This includes meetings on all islands and data sharing agreements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY16 to FY17 and FY17 to FY18 due to delays in procurement. Project end date 8/31/2019.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	337	20	5	0	0	0	0
Revenues	15,293	126,628	55,431	52,383	0	0	0
Expenditures	15,610	126,643	55,436	52,383	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	20	5	0	0	0	0	0
Encumbrances	175,381	25,000	1,640	21,144			
Unencumbered Cash Balance	(175,361)	(24,995)	(1,640)	(21,144)	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Tuberculosis Control & Elimination  
 Legal Authority: Public Law 112-74, Patient Protection and Affordable  
 Care Act, PL 111-148

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) P  
 Appropriation Acct. No. S 517 H (FY14-15)  
S 594 H (FY16-FY20)

Intended Purpose: To prevent and reduce the incidence of tuberculosis (TB) in the State of Hawaii

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: TB outbreak and control activities, laboratory and direct observed therapy services

Purpose of Proposed Ceiling Increase (if applicable):

Variances: From FY15, the grant switched from S 517 to S 594. The variance between FY17 and FY18 is due to an projected increase of the grant starting from FY18. There is a slight decrease in the grant amount in FY19 but we expect funding level to increase to same level again from FY20.

Financial Data							
	FY2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,705,653	3,456,362	2,092,342	936,351	4,572,597	4,000,000	3,000,000
Beginning Cash Balance	18,631	22,100	49	2,917	482	482	482
Revenues	893,177	1,067,245	1,005,785	928,425	988,704	988,704	988,704
Expenditures	889,708	1,089,296	1,002,917	930,860	988,704	988,704	988,704
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	22,100	49	2,917	482	482	482	482
Encumbrances				344			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: <u>HEALTH</u>	Contact Name: <u>William L. Aakhus</u>
Prog ID(s): <u>HTH 560</u>	Phone: <u>586-9305</u>
Name of Fund: <u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 6)</u>	Fund type (MOF) <u>P</u>
Legal Authority: <u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No. <u>S 595 H</u>

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

**Current Program Activities/Allowable Expenses:**

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Final budget period ended 9/30/17; grant closed FY 2018.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	0	0	0	0	0	0
Beginning Cash Balance	0	80	117	(0)	(0)	(0)	(0)
Revenues	966	727,741	272,501	0	0	0	0
Expenditures	886	727,704	272,618	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	80	117	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	132,219	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: <u>HEALTH</u>	Contact Name: <u>William L. Aakhus</u>
Prog ID(s): <u>HTH 560</u>	Phone: <u>586-9305</u>
Name of Fund: <u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000753)</u>	Fund type (MOF) <u>P</u>
Legal Authority <u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No. <u>S 596 H</u>

Intended Purpose: To continue to make significant progress under the MIECHV program towards implementing a high-quality home visiting program as part of a comprehensive early childhood system and maintain existing home visiting programs which have proven to be effective for eligible families or communities or incrementally expand the capacity to address additional unmet need.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses: These funds provide ongoing support to high-quality evidence-based home visiting programs and expand evidence-based home visiting programs, in whole or in part, through the MIECHV program by increasing enrollment and retention of families served. MIECHV funds support the Hawaii Home Visiting Network (HHVN), a public/private partnership of evidence-based home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. The Department of Health (DOH) uses MIECHV funds to support Early Identification (EID) services prenatally and in birthing hospitals which screen families for child maltreatment risk factors.

Families who are identified to be at risk are voluntarily referred to HHVN partners who provide a comprehensive array of services that promote parental competence and successful early childhood health and development. In addition to supporting the HHVN EID and direct home visiting services, these funds provide expanded resources to: embarking on two year evolution of home visiting program expansion, recruitment, enrollment, retention and quality improvement with Johns Hopkins University; launching a Brand Awareness/Social Media Campaign to increase recruitment, enrollment and retention; create a training institute with established Home Visitor Competencies. Strengthening relationships between home visiting, Department of Human Services, Child Welfare Services and early childhood community through shared experiences in the training institute and implementation of the State's Continuous Quality Improvement (CQI) Plan.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling to be established in the Budget Details in the Supplement budget effective 7/1/16.

Variances: This MIECHV Expansion grant began 3/1/15. The entire grant award was 8,430,783 and expired on 9/30/2017. Appropriation code from FY 2019 is S 529 H.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,430,783	8,430,783	2,836,945	8,430,783	0	0	0
Beginning Cash Balance	0	131,947	38	0	0	0	0
Revenues	2,352,218	3,241,657	2,558,458	0	0	0	0
Expenditures	2,220,271	3,373,566	2,558,496	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	131,947	38	0	0	0	0	0
Encumbrances	1,626,846	2,032,817	151,254	0	0		
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOH  
 Prog ID(s): HTH131/DB  
 Name of Fund: HPP Ebola Preparedness and Response Activities  
 Legal Authority: PHSA, Sec 21, 42 USC 243

Contact Name: Judy K. Kern  
 Phone: (808) 587-6372  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-15-597 H

Intended Purpose: In partnership with referral hospitals and the local healthcare coalition, DOH will conduct an Ebola virus disease/infectious disease preparedness and response program to improve the State healthcare system's readiness for a possible Ebola/infectious disease outbreak.

Source of Revenues: Federal Funds.

Current Program Activities/Allowable Expenses: Funds will be used to support healthcare facilities and the healthcare coalition to develop consistent plans and capacity regarding identification, isolation, transport, and management of suspected or confirmed patients infected with Ebola or other infectious disease.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: Project period from FY16 through FY20. Grant expires on 5/17/20.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	831,511						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	677,745	13,016	55,603	1,393	83,945		
Expenditures	677,745	13,016	55,603	1,393	83,945		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				75,337			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							